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Attention:	MAIL STOP AF	Fax:	(571) 273-8300
	Group Art Unit: 2611 Examiner: Juan A. Torres		
UNITED ST	TATES PATENT AND TRADEMARK OFFICE	Phone:	(571) 272-3119
Pages:	Cover + 2 + 2 + 1 = 6	Date:	December 4, 2006
From:	Georgann S. Grunebach	Fax:	(310) 964-0941
	Assistant General Counsel	Phone:	(310) 964-4615

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I hereby certify that this correspondence identified below is being facsimile transmitted to (571) 273-8300 (Centralized Facsimile Number), addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>December 4</u>, 2006.

Telephone No. (310) 964-4615

ann S. Grunebach, Reg. No. 33,179 Inted Name of Person Signing Certificate) December 4, 2006 (Date of Signature)

Please find attached Re:

Attorney Docket No. PD-201151

Serial No.: 10/068,047

Filing Date: February 5, 2002

- > INFORMATION DISCLOSURE STATEMENT WITH FEE AS SET FORTH IN §1.17(p) (2 pages in duplicate)
- SUBSTITUTE PTO FORM 1449 (1 page)

If you do not receive all pages, or pages are not clear, please call Karen Lum at (310) 964-0735.

Patent PD-201151 Customer No. 020991

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen et al. :

Serial No.:

10/068,047

Filed:

February 5, 2002

For:

**DUAL LAYER SIGNAL** 

PROCESSING IN A LAYERED MODULATION DIGITAL SIGNAL

SYSTEM

Georgann S. Grunebach

addressed to:

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Mail Stop AF, Commissioner for

22313-1450, on the date below:

Group Art Unit:

2611

Examiner:

Juan A. Torres

Date: December 4, 2006

# SUPPLEMENTAL DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 (d) WITH FEE AS SET FORTH IN §1.17(p)

Mail Stop AF Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

The references were cited in a Notice of Allowance dated November 21, 2006 in related U.S. patent application serial number 10/532,509 filed April 25, 2005.

This statement should be considered because it is submitted after the period specified under 37 C.F.R. §1.97(c), but filed on or before payment of the issue fee as set forth in 37 C.F.R. § 1.97(d).

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**☑** 003/008

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Serial No.	10/068,047	, ••••••••••••••••••••••••••••••••••••	Page	2
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## Certification Under 37 C.F.R. §1.97(e)

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. § 1.97 (e)(2)).

# PAYMENT OF FEE SET FORTH IN §1.17(p)

Please charge Deposit Account No. <u>50-0383</u> of Hughes Electronics Corporation, El Segundo, California, in the amount of <u>\$180.00</u>. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to that account. **This form** is being submitted in duplicate.

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

By:

Georgani S. Grunebach, Reg. No. 33,179

The DIRECTV Group, Inc. CA/LA1/A109
P. O. Box 956
El Segundo, CA 90245

Telephone No. (310) 964-4615

December 4, 2006

Ø 004/006

DEC 0 4 2006

Patent PD-201151 Customer No. 020991

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen et al. :

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10/068,047

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Georgann S. Grunebach

Typed or printed name of person signing certificate

Group Art Unit:

2611

Examiner:

Juan A. Torres

Date: December 4, 2006

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Respectfully submitted,

By:

Georgani S. Grunebach, Reg. No. 33,179

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Telephone No. (310) 964-4615

December 4, 2006

Substitute for form PTO/SB/08A  INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Complete if Known		
		Application Number	10/068,047	
		Filing Date	February 5, 2002	
		First Named Inventor	Ernest C. Chen	
		Art Unit	2611	
		Examiner Name	TORRES, Juan A.	
Date:	December 4, 2006			
Sheet 1 of 1		Attorney Docket Number	PD-201148	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number  Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,369,648	04-2002	Kirkman, George F.	
		US-6,477,398	11-2002	Mills, Randell L.	
		US-3,076,180	01-1963	Havens et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		·				—

Examiner	Date	
Signature	Consider	ed

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.